January 2, 2019

ADDENDUM NO. 1 TO SPECIFICATION NO. 1270P
Indian Street Recycled Water 12-inch Pipeline

This addendum to the specifications is for the purpose of adding, clarifying, or deleting certain information to the construction drawings and project specifications as follows:

SECTION P – CONTRACT DRAWINGS

P-04. Construction Drawings.

MODIFY Drawings D-46532 thru D-46535 as follows:
Revise City of Moreno Standard noted as “602B” to “Modified MVS1-132E-1” and “602C” to “MVS1-132-1”

QUESTIONS & ANSWERS

PanGis, Inc.

Q1. Is there a cultural resources/archaeological component to this project? I cannot find any mention of CEQA or local compliance regulations for cultural resources.

A1. No, there is no required CEQA for this project. A Notice of Exemption (NOE) was filed with the County of Riverside on July 7, 2015.

North America Procurement Council, Inc. PBC

Q1. Is 1,400 LF of 12" PVC will be installed by open cut?

A1. Yes, this project requires trench work to install the PVC pipeline. Refer to the Contract Documents to obtain the details required for this project.

Q2. How much is the required bid bond for the project?

A2. The Bonding Estimate is $300,000 - $400,000.

Q3. How much is the cost estimate of the project?

A3. The Bonding Estimate is $300,000 - $400,000.
Q4. Do you have any further details you wish to provide?

A4. All specific project details are included in the published Contract Documents.

Paul D. Jones II, P.E.
General Manager

Eastern Municipal Water District

PDJ: LW: ma: ae
EASTERN MUNICIPAL WATER DISTRICT
SPECIFICATION NO. 1270P
INDIAN STREET RECYCLED WATER 12-INCH PIPELINE

BIDDING SHEETS

The Contractor shall construct the project under these Specifications all in conformance with the Contract Drawings listed in Section P and these Specifications.

The District reserves the right to:

A. Accept or reject any or all bids on this specification;
B. Award Contract to the lowest qualified bidder, based on the total bid price;
C. Waive any defects and informalities.

The District shall be the final authority with regard to whether a bid is responsive to the call for bids and to whether a bidder is a responsible bidder under the conditions of his bid, or for any reason.

The total contract price shall include all work, materials and equipment needed to complete the project as defined in the General Conditions, Section F. The bidder shall include costs for such other items in the most appropriate category (bid item).
## BIDDING SHEETS

<table>
<thead>
<tr>
<th>Bid Item</th>
<th>Qty</th>
<th>Unit</th>
<th>Description (Unit Price Written in Words)</th>
<th>Unit Price (Figures)</th>
<th>Total Amount (Figures)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>LS</td>
<td>Mobilization and Approved: Bonds, Insurance, and Schedule of Values (see Section 01026)</td>
<td>$ PRESET $</td>
<td>$16,000</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>LS</td>
<td>Furnish and install all necessary material and equipment for the construction of the Indian Street Recycled Water 12-inch Pipeline (from Sta. 30+07.89 to 43+84.89) including but not limited to all pipe, excavation, valves, connections, appurtenances, trenching, shoring, backfill, control density fill, AC pavement and street overlay per City of Moreno Valley Encroachment Permits and removal and disposal of existing AC pavement; all system shutdowns and piping tie-ins as specified and related work; any dewatering of existing pipelines; preparation, approval and implementation of traffic control plans; preparation, approval and restoration work; all related work as required to have an operational facility; all in accordance with the Contract Drawings and Specifications complete and in place.</td>
<td>$ Lump Sum $</td>
<td>$357,520.00</td>
</tr>
</tbody>
</table>

Three hundred eighty-seven thousand, five hundred twenty dollars and zero cents ($357,520.00/100)
<table>
<thead>
<tr>
<th>Bid Item</th>
<th>Qty</th>
<th>Unit</th>
<th>Description (Unit Price Written in Words)</th>
<th>Unit Price (Figures)</th>
<th>Total Amount (Figures)</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>1</td>
<td>LS</td>
<td>Remove existing 6&quot; valve and install blind flange at tee. Abandon existing 6&quot; waterline with CDF and install 2 plugs at both ends. Remove existing 6&quot; reduced pressure detector assembly and connect to existing blow off. Existing blow off to remain.</td>
<td>$10,960.</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>1</td>
<td>LS</td>
<td>ADDITION OR DEDUCTION</td>
<td>Circle one (If applicable):</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Eight thousand, five hundred dollars and zero cents</td>
<td>Addition (+)</td>
<td>$8,500.</td>
</tr>
</tbody>
</table>

TOTAL BID (Basis of award) $42,980. -
EASTERN MUNICIPAL WATER DISTRICT
SPECIFICATION NO. 1270P
INDIAN STREET RECYCLED WATER 12-INCH PIPELINE

BIDDING SHEETS

ADDITION OR DEDUCTION: Provision is made here for the bidder to include an addition/deduction in their bid, if desired, to reflect any last minute adjustments in prices. The addition/deduction, if made, will be proportionately applied to item(s) ______ (fill in) and reflected in the unit prices for payment purposes. If the addition/deduction affects more than one bid item, the proportionate application of the addition/deduction shall be mutually agreed upon by the Contractor and the District at the prejob.

Addenda and/or Letter of Clarification
By submitting a bid, Bidder certifies that any addenda and letters of clarification issued to these specifications, whether acknowledged or not below, shall be made a part of the contract. Bidder further agrees to perform all labor and services and furnish all materials, tools and appliances necessary for completing the work called out in the addenda or letter of clarification.

<table>
<thead>
<tr>
<th>Addenda received:</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Letter of Clarification received:</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Person who inspected site of the proposed work as an employee of your firm: (Representative must have inspected the jobsite and be an employee on the company’s payroll to be considered a responsive bidder)

Brian Wagner
(Name)  
1-7-19
(Date of Inspection)
EQUIPMENT AND MATERIAL

No substitution will be permitted without written justification and the approval of the District prior to the bid opening.

PRODUCTS OF MANUFACTURERS LISTED AS EQUALS TO THOSE SPECIFIED IN THE CONTRACT DOCUMENTS MUST BE SUBMITTED FOR REVIEW AND APPROVAL BY THE DISTRICT NO LATER THAN THE TENTH (10TH) DAY PRECEDING THE DATE SET FOR RECEIPT OF BIDS.
EASTERN MUNICIPAL WATER DISTRICT
SPECIFICATION NO. 1270P
INDIAN STREET RECYCLED WATER 12-INCH PIPELINE

BIDDER'S 5-YEAR EXPERIENCE RECORD &
RESUMES OF KEY PERSONNEL

All bidders must provide a detailed 5-year experience record showing successful completion, as a Classification A - General Engineering Contractor and/or Classification C-34 – Pipeline Contractor of at least three (3) similar projects within the last five (5) years for each type listed as follows:

A. Public Works Project
B. Installation of Recycled Pipeline – Up to 12-inch

Additionally, bidders must include resumes of key personnel proposed to work on this project when submitting your bid. This information will be critical in meeting requirements as a responsible bidder.

*Failure to submit any of the above-mentioned information with your bid “may” deem your bid non-responsive*
<table>
<thead>
<tr>
<th>WORK (CITY)</th>
<th>LOCATION OF WORK (CITY)</th>
<th>OWNER/ENGINEER CONTACT PERSON</th>
<th>ADDRESS &amp; PHONE #</th>
<th>VALUE OF WORK PERFORMED</th>
<th>TYPE/DESCRIPTION OF WORK</th>
</tr>
</thead>
<tbody>
<tr>
<td>Newport Beach</td>
<td>College Hawaii Canyon Drive</td>
<td>Irvine Ranch Water Dist.</td>
<td>15400 Sand Canyon Ave, Irvine, CA 92618</td>
<td>$4,108,450.00</td>
<td>4,000&quot; 10&quot; PVC recycled water main with restoration</td>
</tr>
<tr>
<td>Whittier</td>
<td>Coral Cay</td>
<td>Irvine Ranch Water Dist.</td>
<td>15400 Sand Canyon Ave, Irvine, CA 92618</td>
<td>$1,499,327.00</td>
<td>9,000&quot; 12&quot; DIP pipeline with appurtenances with restoration</td>
</tr>
<tr>
<td>Whittier</td>
<td>19290 Penn St, Whittier, CA 90011</td>
<td>Irvine Ranch Water Dist.</td>
<td>15400 Sand Canyon Ave, Irvine, CA 92618</td>
<td>$1,187,652.00</td>
<td>1,000&quot; 4-6&quot; DIP and PVC pipeline with restoration</td>
</tr>
<tr>
<td>Whittier</td>
<td>19290 Penn St, Whittier, CA 90011</td>
<td>Irvine Ranch Water Dist.</td>
<td>15400 Sand Canyon Ave, Irvine, CA 92618</td>
<td>$1,893,244.00</td>
<td>9,000&quot; 12&quot; DIP pipeline with appurtenances with restoration</td>
</tr>
</tbody>
</table>

YEAR | 2018 | 2018 | 2018 | 2018 | 2018

Note: The table contains detailed experience records for various water-related projects. Each row represents a separate project with details like the location, owner/consultant information, value of work performed, type of work, and year of completion.
<table>
<thead>
<tr>
<th>YEAR</th>
<th>TYPE/DESCRIPTION OF WORK</th>
<th>VALUE OF WORK PERFORMED</th>
<th>LOCATION OF WORK (CITY)</th>
<th>ENGINEER / CONTACT PERSON Address &amp; phone #</th>
<th>OWNER / CONTACT PERSON Address &amp; phone #</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>3,915' 24&quot; GWBR pipeline and appurtenances with restoration</td>
<td>$248,228.--- (material provided)</td>
<td>Linden Ave. Fontana</td>
<td>San Gabriel Valley Water Co. 11142 Garvey Ave. El Monte, CA 91732 Matt Yuccelen 626 448-6193</td>
<td>San Gabriel Valley Water Co. 11142 Garvey Ave. El Monte, CA 91732 Matt Yuccelen 626 448-6193</td>
</tr>
<tr>
<td>2017</td>
<td>900' 8&quot; PVC water main and appurtenances with restoration</td>
<td>$299,455.-</td>
<td>Calle del Norte Anaheim</td>
<td>City of Anaheim 200 S. Anaheim Blvd. Anaheim CA 92805 Kim Tran 714 765-4964</td>
<td>City of Anaheim 200 S. Anaheim Blvd. Anaheim CA 92805 Kim Tran 714 765-4964</td>
</tr>
<tr>
<td>2017</td>
<td>4,884' 8&quot; PVC pipeline and appurtenances with restoration</td>
<td>$1,109,045.-</td>
<td>Beverly Boulevard Whittier</td>
<td>City of Whittier 13230 Penn St. Whittier CA 90602 Kyle Cason 562 567-9511</td>
<td>City of Whittier 13230 Penn St. Whittier CA 90602 Raul Flores 562 567-9525</td>
</tr>
<tr>
<td>2017</td>
<td>6,350' 16&quot; PVC pipeline and appurtenances with restoration</td>
<td>$2,772,179.-</td>
<td>Pacific Coast Highway Laguna Beach</td>
<td>Carollo Engineers 701 Wilshire Blvd. Los Angeles CA 90017 Anwar Khan 213 239-3307</td>
<td>South Coast Water District 31592 West St. Laguna Beach CA 90251 Joe Sinaloni 449 499-4555 x308</td>
</tr>
<tr>
<td>2017</td>
<td>1,570' 8&quot; PVC pipeline and appurtenances with restoration</td>
<td>$445,544.-</td>
<td>Solomon Dr. and Baja Dr. Anaheim</td>
<td>City of Anaheim 200 S. Anaheim Blvd. Anaheim CA 92805 Tim Flint 714 765-4137</td>
<td>City of Anaheim 200 S. Anaheim Blvd. Anaheim CA 92805 Tim Flint 714 765-4137</td>
</tr>
<tr>
<td>YEAR</td>
<td>TYPE/DESCRIPTION OF WORK</td>
<td>VALUE OF WORK PERFORMED</td>
<td>LOCATION OF WORK (CITY)</td>
<td>OWNER / CONTACT PERSON</td>
<td>ENGINEER / CONTACT PERSON</td>
</tr>
<tr>
<td>------</td>
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<td>--------------------------</td>
</tr>
<tr>
<td>2017</td>
<td>7,150', 8-12&quot; DIP and appurtenances with restoration</td>
<td>$2,271,653.49</td>
<td>Ontario</td>
<td>City of Ontario</td>
<td>City of Ontario</td>
</tr>
<tr>
<td>2017</td>
<td>13,000', 8-18&quot; GVGSR pipeline and appurtenances with restoration</td>
<td>$2,037,994 (material provided)</td>
<td>Rush St., El Monte</td>
<td>San Gabriel Valley Water Co.</td>
<td>San Gabriel Valley Water Co.</td>
</tr>
<tr>
<td>2017</td>
<td>10,600', 8-12&quot; CMLC water main and appurtenances with restoration</td>
<td>$3,131,184</td>
<td>Ontario</td>
<td>City of Ontario</td>
<td>City of Ontario</td>
</tr>
<tr>
<td>2014</td>
<td>2,732', 16-24&quot; PVC water main and appurtenances with restoration</td>
<td>$3,912,936</td>
<td>Newport Beach</td>
<td>Newport Beach, CA</td>
<td>Newport Beach, CA</td>
</tr>
<tr>
<td>2014</td>
<td>Installed concrete for encasement of water line</td>
<td>$418,400</td>
<td>Fontana</td>
<td>Metropolitan Water District of Southern California</td>
<td>Metropolitan Water District of Southern California</td>
</tr>
<tr>
<td>2015</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YEAR</td>
<td>TYPE/DESCRIPTION OF WORK</td>
<td>VALUE OF WORK PERFORMED</td>
<td>LOCATION OF WORK (GITY)</td>
<td>ENGINEER/CONTACT PERSON</td>
<td>OWNER/CONTACT PERSON</td>
</tr>
<tr>
<td>------</td>
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<td>--------------------------</td>
<td>----------------------</td>
</tr>
<tr>
<td>2015</td>
<td>200' 2'' interagency CMC connection and appurtenances with restoration</td>
<td>$367,598.14</td>
<td>Mission Viejo</td>
<td>Mission Viejo</td>
<td>Monrovia, Niguel Water District</td>
</tr>
<tr>
<td>2015</td>
<td>2,265' 6'' 8'' PVC pipeline and appurtenances with restoration</td>
<td>$410,196.91</td>
<td>La Siesta</td>
<td>Laguna Niguel</td>
<td>Monrovia, Niguel Water District</td>
</tr>
</tbody>
</table>
| 2015 | 12,450' 8''-10'' CMC/C pipe and appurtenances with restoration | $2,687,364.80 | Ontario | Ontario | Webb Associates City of Ontario 113 S. Bonview Ave. Riverside CA 92501
Principal in Charge
TIMOTHY ROBERTS
General Engineering Contractor
License A, #603008

RESUME

Over thirty-five years experience in the pipeline construction industry, performing construction management and general contractor roles. Experienced in construction, renovation, and cost estimating within commercial and residential environments. Recognized for well-developed project management skills and scheduled operational tasks that enable project completion on time and under budget. Consistently deliver quality and excellence in workmanship. Excellent safety record.

SKILLS

- Project Management
- Client Focus
- Tools and Techniques
- Cost Estimation
- Communication
- On-time Completion
- Safety Emphasis
- Materials Expertise
- Customer Satisfaction

EXPERIENCE

T. E. ROBERTS, INC., Orange County, CA
1999-Present
CEO and President

Chief Executive Officer and founder of successful pipeline contracting corporation. Experienced in negotiating terms for new construction projects in both private and publicly funded sectors. Manage completion of residential and commercial construction projects in a timely and professional manner. Supervise crews of skilled heavy equipment operators and general laborer personnel. Secure all required permits and contracts necessary to complete the job in accordance with government regulations. Maintain strict safety standards and procedures. Consult with clients on progress and issues. Select bid lists include: San Gabriel Valley Water Company, Fontana Water Company, Suburban Water Systems, Golden State Water, KB Homes, Rose Hills Mortuary and Cemetery, Bellevue Memorial Park and the International Buddhist Progress Society. Client referrals available upon request.

- Specializing in water, sewer and storm drains of all sizes
- Manage value engineering process with client to ensure modifications to project specifications continue to meet project goals and client expectations
- Received numerous accolades from clients for superior workmanship
- OSHA Certified Competent Person
- Certified in Trench and Equipment Safety, First Aid and Confined Spaces

T.A. RIVARD, INC., Riverside, CA
1983-1999
Superintendent, Foreman, Equipment Operator

Began as an Equipment Operator and advanced to Foreman and Superintendent overseeing pipeline projects throughout Southern California.

EXCELLENT QUALITY - RELIABLE - SERVICE ORIENTED
Construction Superintendent

BOB HARTWELL

RESUME

Thirty-seven years expertise in the pipeline construction industry, operating excavators, rubber tire backhoes and loaders. Sixteen years experience as Construction Superintendent.

SKILLS

Heavy Equipment Operator
Skilled in the use of pipeline construction equipment and tools
Effectively applies methodology and enforces project standards

EXPERIENCE

T. E. ROBERTS, INC., ORANGE, CA
Pipeline Superintendent
2014-Present

CHRISTENSEN BROTHERS
Pipeline Foreman
2012-2014

H2O Pipeline
Owner
2003-2010

Albert Webb and Associates
Inspector
2002-2003

CERTIFICATIONS

First Aid
9-15-17
8 hour Safety Course
4-10-16
Confined Space Certificate
1-16-12
First Aid/CPR/AED Certificate
1-16-12
Heartsaver First Aid Certificate
1-21-10
Excavation Safety & Competent Person Certificate
2-21-09
GILBERT HARTWELL

RESUME

Expertise in the pipeline construction industry, operating excavations, rubber tire backhoes and loaders. Fifteen years experience as Construction Project Manager.

SKILLS

*Pipeline Construction Laborer
*Skilled in the use of pipeline construction equipment and tools
*Effectively applies methodology and enforces project standards

EXPERIENCE

T. E. Roberts, Inc., ORANGE, CA
Labor/ Project Manager

Echoestar/ Arroyo Property Preservation
Quality Assurance/ Communications & Construction

H2O Pipeline
Project Manager/ General Manager

Ondo Construction Inc
Account Manager/ Project Manager/ General Manager

2007 - 2010

2010 - 2015

2015 - Present

2003 - 2007

CERTIFICATIONS

First Aid
8 hour Safety Course
Confined Space Certificate
First Aid/CPR/AED Certificate
Heartsaver First Aid Certificate
Excavation Safety & Competent Person Certificate

Gilbert has completed many jobs at T.E. Roberts

Owner: City of Anaheim
200 S. Anaheim Blvd.
Anaheim, CA 92803
PDC: Tim Flint 714 785-5271
Project Name: Pressure Reducing Stations 4 & 6/5778
Description: Rehabilitation and Upgrade of Pressure Reducing Stations
Contract Amount: $547,300.00
Project Awarded: 1-22-18 Completed: 9-11-18

Owner: El Toro Water District
24251 Los Alisos Boulevard
Lake Forest, CA 92630
PDC: Robert Young Project Engineer 949 857-7050, byoung@etwd.com
Project Name: Phase 2 Recycled Water Distribution Project-East Side System/5760
Description: 7,670 4 -6" DIP & PVC pipe and appurtenances with restoration
Contract Amount: $1,287,411.00
Project Awarded: 10-24-17 Completed: 6-14-18
Owner: City of Whittier
13230 Penn Street
Whittier, CA 90602
POC/Engineer: Kyle Cason (562) 567-9511
Project Name: Desilting Basin Savage Canyon Landfill/5744
Description: Poly Water Main and appurtenances
Contract Award: $393,566.00, completed $297,825, less scope
Project Awarded: 8-6-17 Completed: 11-1-17, 99.5% own forces

Owner: City of Whittier
13230 Penn Street
Whittier, CA 90602
POC: Raul Flores (562) 567-9525
Project Name: Beverly Boulevard Water Main Replacement/5695
Description: 4,684' 8" PVC pipeline and appurtenances with restoration
Contract Award: $1,124,835.00
Project Awarded: 1-25-17 Completed: 9-31-17, 90% own forces

Owner: City of Anaheim
200 South Anaheim Blvd.
Anaheim, CA 92805
POC: Tim Flint (714) 765-4317
Project Name: Solomon Dr. and Baja Dr. Water Main Replacement/5687 WO2
Description: 1,370' 8" PVC pipeline and appurtenances with restoration
Contract Award: $499,744.00
Project Awarded: 1-14-17 Completed: 4-5-17, 90% own forces

Owner: City of Anaheim
200 South Anaheim Blvd.
Anaheim, CA 92805
POC/Engineer: David Sanchez 714 765-3176, dsanchez@anaheim.net
Project Name: Alderdale and Maychelle/5719
Description: 9,000' 12" DIP and appurtenances with restoration
Contract Award: $1,917,025
Project Awarded: 5-9-17 Completed: 4-10-18

Owner: City of Anaheim
200 South Anaheim Blvd.
Anaheim, CA 92805
POC/Engineer: Kim Tran Associate Engineer (714) 765-4964
Project Name: Calle del Norte 8" Water Main Replacement/5715
Description: 900' 8" PVC water main with appurtenances and restoration
Contract Award: $321,250.00 Project Awarded: 5-17-17
Completed: 9-29-17, 91% own forces

Owner: City of Arcadia
11800 Goldring Road
Arcadia, CA 91006
POC: Tiffany Lee (626) 258-2721
Project Name: Valve Replacement/5706
Description: 30" butterfly valve and appurtenances with restoration/5706
Contract Award: $251,242.00
Project Awarded: 3-16-17 Completed: 8-25-17, 65% own forces
RESUMES OF KEY PERSONNEL
(ATTACH HERE)
PROPOSAL

The undersigned hereby declares, as Bidder, that the only persons or parties interested in this proposal as principals are those named herein; that no director or any other officer or employee of the Eastern Municipal Water District is in any manner interested directly or indirectly in this proposal or in the profits to be derived from the contract proposed to be taken; that this bid is made without any connection with any person or persons making a bid for the same purpose; that the bid is in all respects fair and without collusion or fraud; that he has read the Notice Inviting Bids (or Bidding Information), Insurance Requirements and the Instructions to Bidders and agrees to all the stipulations contained herein; that he proposes and agrees that if his bid as submitted in the attached sheets, be accepted he will contract in the form so approved and furnish all items called for in accordance with the provisions of said approved form of Agreement, Insurance Requirements and the Specifications and to deliver the same within the time stipulated therein; and that he will accept in full payment therefore the prices named in said Bid Sheet.

The Bidder further agrees, that upon receipt of written notice of the acceptance of this proposal within 60 days after the day of opening bids (unless otherwise specified in the Notice Inviting Bids or Bidding Information) he will execute and return the Contract in accordance with the proposal as accepted and furnish the required bond(s) within SEVEN (7) days from the date of mailing the Notice of Acceptance of Proposal to him at his address given below, or within such time as allowed by the Engineer; and that upon his failure or refusal to do so within the said time, then the certified or cashier's check or bond accompanying this bid shall become the property of and be retained by the Eastern Municipal Water District as liquidated damages for such failure or refusal, and shall be deposited with the Treasurer of said Eastern Municipal Water District as monies belonging to the Eastern Municipal Water District; provided, that if said Bidder shall execute the Contract and furnish the required bond within the time aforesaid, his check or bond shall be returned to him thereafter.

The Bidder further declares that the surety or sureties named in the attached sheet have agreed to furnish bond in form and amount set forth in the accompanying Notice Inviting Bids (or Bidding Information) and paragraph B-6 of the Instructions to Bidders, in the event contract is awarded on basis of this proposal.


Address: 306 W. Katella Ave., Ste B Orange, CA 92869

Date: 1-28-19

By: Timothy Roberts
(Print Name) (Name should appear below)

(Signature of Owner or Officer required)

<table>
<thead>
<tr>
<th>Name(s) and Title of Member of Firm or Office of Corporation authorized to enter into a binding Contract:</th>
<th>Address (if different from above)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Timothy Roberts, President</td>
<td></td>
</tr>
<tr>
<td>Justin Roberts, COO/Secretary</td>
<td></td>
</tr>
<tr>
<td>Kimberlee Roberts, CFO/Treasurer</td>
<td></td>
</tr>
<tr>
<td>Nicholas Roberts, Vice President</td>
<td></td>
</tr>
<tr>
<td>Luke Roberts</td>
<td></td>
</tr>
</tbody>
</table>

For further details on this proposal, please contact:

Name: Tim Roberts
Email Address: t.roberts@t.e.roberts.com
Address: 306 W. Katella Ave., Ste B, Orange, CA
Telephone: 714 469-0072

C3-1 00024 Proposal (7 day)
**LIST OF DESIGNATED SUBCONTRACTORS**

<table>
<thead>
<tr>
<th>SPEC #:</th>
<th>1270P</th>
</tr>
</thead>
<tbody>
<tr>
<td>PROJECT TITLE:</td>
<td>Indian Street Recycled Water 12-Inch Pipeline</td>
</tr>
<tr>
<td>NAME OF CONTRACTOR:</td>
<td>T.E. Roberts, Inc.</td>
</tr>
</tbody>
</table>

In compliance with the provisions of Sec. 4100-4111, inclusive, of the Public Contract Code of the State of California, and any amendments thereof, each bidder shall set forth: the name, location of the place of business, license number, portion of the work which will be done by each subcontractor who will perform work or labor or render service to the Contractor in or about the construction of the work or improvement in an amount in excess of one-half of one percent (1/2 of 1%) of the Contractor's total bid. Each portion of Subcontracted work noted in the below table must be specific and contain all major activities associated with completion of the project, outside of the services provided by the bidding Contractor. Ambiguous and/or incomplete Subcontracted work information may deem the bid nonresponsive. Each subcontractor shall possess, both at the time the bid is submitted and at all times when work is performed, a valid contractor's license for the appropriate classification necessary to perform the work for which that subcontractor is listed.

**BIDDER SHALL PROVIDE THE FOLLOWING INFORMATION:**
Company Name, Business Address, Email Address, License No., Contractor Registration No., Subcontract Work, and % Of Total Bid

*PLEASE WRITE LEGIBLY*

<table>
<thead>
<tr>
<th>LICENSED SUBCONTRACTOR'S NAME, BUSINESS ADDRESS, &amp; EMAIL ADDRESS</th>
<th>LICENSE #</th>
<th>SUBCONTRACT WORK (Be Specific)</th>
<th>% OF TOTAL BID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Company Name: All American Asphalt</td>
<td>License #: 267078</td>
<td>Grind and Cap and Concrete Work</td>
<td>16.90</td>
</tr>
<tr>
<td>Address: 400 E. 6th St, Corona CA 92879</td>
<td>DIR Registration #: 100001051</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Email Address: <a href="mailto:publicworks@allamericasphalt.com">publicworks@allamericasphalt.com</a></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Company Name: Superior Pavement Markings</td>
<td>License #: 776306</td>
<td>Striping</td>
<td>2.00</td>
</tr>
<tr>
<td>Address: 5312 Cypress St, Cypress CA 90630</td>
<td>DIR Registration #: 100001476</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Email Address: <a href="mailto:superiorpavementmarkings@gmail.com">superiorpavementmarkings@gmail.com</a></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LICENSED SUBCONTRACTOR'S NAME, BUSINESS ADDRESS, &amp; EMAIL ADDRESS</td>
<td>LICENSE #</td>
<td>SUBCONTRACT WORK (Be Specific)</td>
<td>% OF TOTAL BID</td>
</tr>
<tr>
<td>---------------------------------------------------------------</td>
<td>-----------</td>
<td>--------------------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>Company Name: Los Angeles Signal Constructor</td>
<td>License #: 858914</td>
<td>Traffic Loops</td>
<td>1.670</td>
</tr>
<tr>
<td>Address: 155 N. Eucaly, San Dimas 91773</td>
<td>DIR Registration #: 1000008664</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Email Address: <a href="mailto:info@lasignal.com">info@lasignal.com</a></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Company Name:</td>
<td>License #:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Address:</td>
<td>DIR Registration #:</td>
<td></td>
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<tr>
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<td>DIR Registration #:</td>
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<td>Email Address:</td>
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<tr>
<td>Company Name:</td>
<td>License #:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Address:</td>
<td>DIR Registration #:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Email Address:</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(Do not list alternative subcontractors for the same work) (Use additional pages if needed)
PUBLIC WORKS CONTRACTOR REGISTRATION

(Pursuant to SB 854)

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

Pursuant to Section 1771.1 of the Labor Code, no contractor or subcontractor shall be qualified to bid on, be listed in a bid proposal pursuant to Section 4104 of the Public Contract Code, or engage in the performance of any contract for public work unless currently registered to perform public work pursuant to Section 1725.5 of the Labor Code. It shall not be a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Sections 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.

BIDDERS AND THEIR SUBCONTRACTORS (listed on the Designation of Subcontractors List C-05) are to provide an extract (pdf) at time of bid showing active registration from the Public Works Contractor Registration online registration at https://efiling.dir.ca.gov/PWCR/Search

*****************************************************************************
SUBMIT BIDDER & SUBCONTRACTORS CONTRACTOR REGISTRATION
EXTRACTS WITH BID PROPOSAL
*****************************************************************************

Example:

<table>
<thead>
<tr>
<th>Legal Name</th>
<th>Registration Number</th>
<th>County</th>
<th>City</th>
<th>Registration Date</th>
<th>Expiration Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contractors Name</td>
<td>0000000000</td>
<td>RIVERSIDE</td>
<td>Temecula</td>
<td>06/01/2015</td>
<td>06/30/2016</td>
</tr>
</tbody>
</table>

Rev:11/23/15 C22-1 00057 Contractor Registration
**CONTRACTOR'S LICENISING STATEMENT**

The undersigned is licensed in accordance with the laws of the State of **California**, providing for the registration of contractors. The representations made herein and in the proposal documents are made under penalty of perjury. The undersigned is hereby representing that he is properly licensed both at the time that he submits a bid as well as at the time the contract is awarded, if the contract is awarded to the undersigned.

**CONTRACTOR'S CLASSIFICATION** General Engineering

**LICENSE NUMBER** 603008 **TYPE** A, C34 **EXPIRATION DATE** 10-31-20

### A. INDIVIDUAL CONTRACTOR

| NAME OF INDIVIDUAL CONTRACTOR: | N/A |
| BUSINESS ADDRESS: | N/A |
| PHONE NUMBER: | N/A |
| SIGNATURE OF OWNER: | N/A |

### B. FIRM OR PARTNERSHIP

| NAME OF FIRM OR PARTNERSHIP: | N/A |
| BUSINESS ADDRESS: | N/A |
| PHONE NUMBER: | N/A |

**SIGNATURE(S) & TITLE OF MEMBERS SIGNING ON BEHALF OF THE PARTNERSHIP:**

| SIGNATURE: | N/A |
| TITLE: | N/A |
| SIGNATURE: | N/A |
| TITLE: | N/A |
| SIGNATURE: | N/A |
| TITLE: | N/A |

### C. CORPORATION

| NAME OF CORPORATION: | T.E. Roberts, Inc. |
| BUSINESS ADDRESS: | 306 W. Katella Ave, Ste B, Orange, CA 92867 |
| PHONE NUMBER: | 714-669-0072 |

**SIGNATURE OF AUTHORIZED OFFICER OF CORPORATION:**

| SIGNATURE: | [Signature] |
| TITLE: | President |
NON-COLLUSION DECLARATION

TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

The undersigned declares:

I am the __President________ of __T.E. Roberts Inc._, the party making the foregoing bid.

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or of any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on 1-8-19 [date], at __Orange________ [city], __California________ [state]

Signed: [Signature]

Name: __Timothy Roberts________

(Print Name Here)

C7-1 00032 Non-Collusion Declaration
IRAN CONTRACTING ACT CERTIFICATION

Pursuant to the Iran Contract Act of 2010 (Public Contract Code 2200-2208), Vendors/Bidders are ineligible to bid on or submit a proposal for any contract with a public entity for goods or services of one million dollars ($1,000,000) or more if the Vendor/Bidder engages in investment activities in Iran.

MUST BE SUBMITTED WITH BID PROPOSAL IF BID AMOUNT IS $1,000,000 OR MORE

Prior to bidding on, submitting a proposal, or executing a contract or renewal for a public entity contract for goods or services of $1,000,000 or more, a vendor must either: a) certify it is not on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code section 2203(b) and is not a financial institution extending $20,000,000 or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code section 2203(c) or (d).

To comply with this requirement, please insert your vendor or financial institution name and Federal ID Number (if available) and complete one of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of $250,000 or twice the amount of the contract for which the false certification was made, contract termination, and three-year ineligibility to bid on contracts. (Pub. Cont. Code § 2205.)

OPTION #1 - CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the vendor/financial institution identified below, and the vendor/financial institution identified below is not on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars ($20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

<table>
<thead>
<tr>
<th>Vendor Name/Financial Institution (Printed)</th>
<th>Federal ID Number (or n/a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>By (Authorized Signature)</td>
<td></td>
</tr>
<tr>
<td>Printed Name and Title of Person Signing</td>
<td>Date Executed</td>
</tr>
</tbody>
</table>

C13-3  00046 Iran Contracting Act Certification
OPTION #2 – EXEMPTION

Pursuant to Public Contract Code sections 2203(c) and (d), a public entity may permit a vendor/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enters into or renews, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

<table>
<thead>
<tr>
<th>Vendor Name/Financial Institution (Printed)</th>
<th>Federal ID Number (or n/a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>By (Authorized Signature)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Printed Name and Title of Person Signing</th>
<th>Date Executed</th>
</tr>
</thead>
</table>
### Annual Summary of Work-Related Injuries and Illnesses

#### C16-2

- **Standard Industry Classification (SIC):** 13, known as "F.3.2.1.1".

#### Employment Information
- **Annual average number of employees:** 43.
- **Total number of days of injury and illness:** 12.

#### Injury and Illness Types

<table>
<thead>
<tr>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(g) All other injuries</td>
<td>0</td>
</tr>
<tr>
<td>(h) All work-related cases with days away from work</td>
<td>0</td>
</tr>
<tr>
<td>(i) All work-related cases with job restrictions or transfer</td>
<td>0</td>
</tr>
<tr>
<td>(j) All work-related cases with lost work days</td>
<td>0</td>
</tr>
</tbody>
</table>

### Notes

- **Caution:** Do not exceed the provisions for these forms.
- **California Code of Regulations Title 8, Section 14300.**
- **Date:** July 2020

---

**Department of Industrial Relations**

**CAL/OSHA**

**Appendix B**
C-17 Contractor’s Cal/OSHA Compliance History and SIC Code
THIS DOCUMENT IS TO BE SUBMITTED WITH THE PROPOSAL PACKAGE AT TIME OF BID

Bid Date: 1-8-19  Contractor’s Standard Industry Code: 11623

Specification No. 1210P  Project Name: Indian Street Recycled Water Ditch Line
Contractor name: T.E. Roberts, Inc.
Contractor Address: 306 W. Katella Ave, Ste B, Orange CA 92867
Contractor License No. 603009  Phone No: 714 616-0072
Contact Person: Tim Roberts

Please answer the following questions:

1. Has the Contractor been cited by Cal/OSHA or any regulatory agency for a violation related to safety or environmental issues within the past seven (7) years?  No □ Yes □

   If yes, please attach all documentation specific to each violation and what actions have been taken to ensure that there is not a repeat violation.

2. Has the Contractor had a fatality or serious incident per Cal/OSHA on a jobsite within the past ten (10) years?  No □ Yes □

   If yes, please attach all documentation specific to each violation and what actions have been taken to ensure that there is not a repeat violation.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Contractor’s Representative signature: [Signature]  Date: 1-8-19

Failure to fully divulge, complete and submit this form “may” deem your bid non-responsive

FOR EMWD USE ONLY:  Field Engineering to forward to Risk Management for review

Approved:  No □ Yes □

Reviewed by Risk Management (signature required)  Date:

Return to (Field Eng.CAR)  By:

cc: Construction Administrator
File: C-17 Contractor’s Compliance History
2. T. E. Roberts, Inc. received a non-admitted serious violation [1541.1(a)] on May 15, 2015 for an inspection on November 25, 2014 at Whispering Lakes Golf Course in Ontario, California. We were in the process of installing shoring and was cited for failure to provide an adequate protective system to protect from cave-ins. After meeting with Cal OSHA we paid a reduced fine of $1,800.00.

To prevent a repeat violation we use the services of third party Safety Inspection firms to evaluate our projects to insure jobsite safety and provide current safety training and materials. We hold training certification courses throughout the year including Confined Space and we just certified over 60 employees as Competent Persons at the end of 2018.

Attached please find the Resolution Letter and the Citation and Notification of Penalty Letter.
BEFORE THE
STATE OF CALIFORNIA
OCCUPATIONAL SAFETY AND HEALTH
APPEALS BOARD

In the Matter of the Appeal of:

T. E. ROBERTS INCORPORATED
306 W. Katella Avenue, Suite B
Orange, California 92867

DOCKET 15-R4D4-2027

ORDER

Good cause having been shown, the above captioned matter is resolved as set forth in the attached summary table.

IT IS STIPULATED by and between the parties that the terms and conditions set forth in the above-described agreement, are not intended to be and shall not be construed by anyone or any proceeding as an admission of negligence, fault, or wrongdoing whatsoever by Employer.

The parties further stipulate that neither Employer's agreement to compromise this matter nor any statement contained in this agreement shall be admissible in any other proceeding, either legal, equitable, or administrative, except for purposes of administration and enforcement of the California Occupational Safety and Health Act and in proceedings before the Appeals Board.

The parties further stipulate that no findings or conclusions have been made by any trier-of-fact regarding the citations and fines at issue herein.

IT IS SO ORDERED.

DATED: January 5, 2016
ULC:ml

URSULA L. CLEMONS
Presiding Administrative Law Judge
Pursuant to California Code of Regulations, Title 8, section 364.2, subdivision (d), Employer shall post for 15 working days a copy of this Order.

Pursuant to California Code of Regulations, Title 8, section 364.2, subdivision (b), the Division shall serve a copy of this disposition on any authorized employee representative if known to the Division to represent affected employees.

NOTE: If you disagree with this order, you may petition the Appeals Board for reconsideration within 30 days. The petition must comply with the requirements of Labor Code sections 6614 through 6619. Please call the Appeals Board at (916) 274-5751 if you need assistance.
# SUMMARY TABLE

## ORDER

**In the Matter of the Appeal of:**

**T. E. ROBERTS INCORPORATED**

**DOCKET 15-R4D4-2027**

<table>
<thead>
<tr>
<th>Inspection No. 1015520</th>
</tr>
</thead>
</table>

**Site:** Whispering Hills Golf Course/Cucamonga Creek, Riverside Dr., Ontario, CA  
**Date of Inspection:** 11/25/14-05/15/15  
**Date of Citation:** 05/15/15

<table>
<thead>
<tr>
<th>DOCKET</th>
<th>CITATION</th>
<th>SECTION</th>
<th>TYPE</th>
<th>ALLEGED VIOLATION DESCRIPTION MODIFICATION OR WITHDRAWAL</th>
<th>AFFIRMED</th>
<th>PENALTY PROPOSED BY DOSH IN CITATION</th>
<th>PENALTY PROPOSED BY DOSH AT PRE HEARING OR STATUS CONF.</th>
<th>FINAL PENALTY ASSESSED BY BOARD</th>
</tr>
</thead>
</table>
| 15-R4D4-2027 | I        | 1       | S    | [Failure to provide adequate protective system to protect from cave-ins]  
DOSH incr. GF and lowered extent and likelihood. | X        | $7,875                             | $1,800                                   | $1,800                                     |

**Sub-Total**  
$7,875.00  
$1,800  
$1,800

**Total Amount Due**  
$1,800

---

Please do not send payments to the Appeals Board. All penalty payments must be made to:

Accounting Office (OSH)  
Department of Industrial Relations  
P.O. Box 420603  
San Francisco, CA 94142  
(415) 703-4291, (415) 703-4308 (payment plans)

*You will owe more than this amount if you did not appeal one or more citations or items containing penalties. Please call (415) 703-4291 if you have any questions.

ALJ: ULC: ml  
POS: 01/05/16
DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare as follows:

I am a citizen of the United States, over the age of 18 years and not a party to the within action; my place of employment and business address is Occupational Safety and Health Appeals Board, 100 N. Barranca Street, Suite 410, West Covina, CA 91791.

On January 5, 2016, I served the attached Order by placing a true copy thereof in an envelope addressed to the persons named below at the address set out immediately below each respective name, and by sealing and depositing said envelope in the United States Mail at West Covina, California, with first-class postage thereon fully prepaid. There is delivery service by United States Mail at each of the places so addressed, or there is regular communication by mail between the place of mailing and each of the places so addressed:

William M. Kutlesa, Project Manager
T. E. ROBERTS INCORPORATED
306 W. Katella Avenue, Suite B
Orange, CA  92867

WEST COVINA DISTRICT OFFICE
1906 West Garvey Ave South Suite 200
West Covina, CA 91790

DOSH LEGAL UNIT
ATTN: Amy Martin, Chief Counsel
1515 Clay Street, Suite 1901
Oakland, CA  94612

DOSH LEGAL UNIT
320 West Fourth Street, Suite 400
Los Angeles, CA 90013

I declare under penalty of perjury that the foregoing is true and correct. Executed on January 5, 2016, at West Covina, California.

Cheryl Jenkins
Declant
CITATION AND NOTIFICATION OF PENALTY

To:
T E Roberts Incorporated and its successors
1131 E.-Main St. #201
Tustin, CA 92780

Inspection Site:
Whispering Hills Golf Course/Cucamonga Creek
Riverside Dr
Ontario, CA 91761

Inspection #: 1015520
Inspection Date (s): 11/25/2014 - 05/15/2015
Issuance Date: 05/15/2015
CSHO ID: U9644
Optional Report #: 022-15
Reporting ID: 0950644

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty (hereinafter Citation) is being issued in accordance with California Labor Code Section 6317 for violations that were found during the inspection/investigation. This Citation or a copy must be prominently posted upon receipt by the employer at or near the location of each violation until the violative condition is corrected or for three working days, whichever is longer. Violations of Title 8 of the California Code of Regulations or of the California Labor Code may result in some instances in prosecution for a misdemeanor.

YOU HAVE A RIGHT to contest this Citation and Notification of Penalty by filing an appeal with the Occupational Safety and Health Appeals Board. To initiate your appeal, you must contact the Appeals Board, in writing or by telephone, within 15 working days from the date of receipt of this Citation. If you miss the 15 working day deadline to appeal, the Citation and Notification of Penalty becomes a final order of the Appeals Board, not subject to review by any court or agency.

Informal Conference - You may request an informal conference with the manager of the district office which issued the Citation within 10 working days after receipt of the Citation. However, if the citation is appealed, you may request an informal conference at any time prior to the day of the hearing. Employers are encouraged to schedule a conference at the earliest possible time to assure an expeditious resolution of any issues. At the informal conference, you may discuss the existence of the alleged violation, classification of the violation, abatement date or proposed penalty.

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.
Citation and Notification of Penalty Page 3 of 8 Cal/OSHA-2 Rev 12/2014
Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an agreement which resolves this matter without litigation or contest.

**APPEAL RIGHTS**

The Occupational Safety and Health Appeals Board (Appeals Board) consists of three members appointed by the Governor. The Appeals Board is a separate entity from the Division of Occupational Safety and Health (Division) and employs experienced attorneys as administrative law judges to hear appeals fairly and impartially. To initiate an appeal from a Citation and Notification of Penalty, you must contact the Appeals Board, in writing or by telephone, within 15 working days from the date of receipt of a Citation. After you have initiated your appeal, you must then file a completed appeal form with the Appeals Board, at the address listed below, for each contested citation. Failure to file a completed appeal form with the Appeals Board may result in dismissal of the appeal. Appeal forms are available from district offices of the Division, or from the Appeals Board:

Occupational Safety and Health Appeals Board  
2520 Venture Oaks Way, Suite 300  
Sacramento, CA 95833  
Telephone: (916) 274-5751 or (877) 252-1987  
Fax: (916) 274-5785

If the Citation you are appealing alleges more than one item, you must specify on the appeal form which items you are appealing. You must also attach to the appeal form a legible copy of the Citation you are appealing. In addition, please send a copy of Page 1 of this Citation and Notification of Penalty, the cover sheet.

Among the specific grounds for an appeal are the following: the safety order was not violated, the classification of the alleged violation (e.g., serious, repeat, willful) is incorrect, the abatement requirements are unreasonable or the proposed penalty is unreasonable.

**Important:** You must notify the Appeals Board, not the Division, of your intent to appeal within 15 working days from the date of receipt of the Citation. Otherwise, the Citation and Notification of Penalty becomes a final order of the Appeals Board not subject to review by any court or agency. An informal conference with the Division does not constitute an appeal and does not stay the 15 working day appeal period. If you have any questions concerning your appeal rights, call the Appeals Board, at (916) 274-5751 or (877) 252-1987.
PENALTY PAYMENT OPTIONS

Penalties are due within 15 working days of receipt of this Citation and Notification of Penalty unless contested. If you are appealing any item of the citation, remittance is still due on all items that are not appealed. Enclosed for your use is a Penalty Remittance Form for payment.

If you are paying electronically, please have the Penalty Remittance Form on-hand when you are ready to make your payment. The company name, inspection number, and Citation number(s) will be required in order to ensure that the payment is accurately posted to your account. Please go to www.dir.ca.gov/dosh/CalOSHA_PaymentOption.html to access the secure payment processing site. Additionally, you must also mail the Penalty Remittance Form to the address below.

If you are paying by check, return one copy of the Citation, along with the Notice of Proposed Penalties Sheet and the Penalty Remittance Form and mail to:

Department of Industrial Relations
Cashier, Accounting Office
P. O. Box 420603
San Francisco, CA 94142-0603

CAL/OSHA does not agree to any restrictions, conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

NOTIFICATION OF CORRECTIVE ACTION

For violations which you do not contest, you should notify the Division of Occupational Safety and Health promptly by letter that you have taken appropriate corrective action within the time frame set forth on this Citation and Notification of Penalty. Please inform the district office listed on the Citation by submitting the Cal/OSHA 160 and/or 161 forms with the abatement steps you have taken and the date the violation was abated, together with adequate supporting documentation, e.g., drawings or photographs of corrected conditions, purchase/work orders related to abatement actions, air sampling results, etc. The adjusted penalty for serious and general violations has already been reduced by 50% on the presumption that the employer will correct the violations by the abatement date. If the Cal/OSHA 161 is not received in the district office within 10 days following the abatement date, the abatement credit is revoked, causing the penalty to double.

Note: Return the Cal/OSHA 160/161 forms to the district office listed on the Citation and as shown below:

Division of Occupational Safety and Health
West Covina District Office
1906 West Garvey Ave South, Suite 200
West Covina, CA 91790
Telephone: (626) 472-0046
Fax: (626) 472-7708
EMPLOYEE RIGHTS

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under Labor Code Section 6310 or 6311. An employee who believes that he/she has been discriminated against may file a complaint no later than six (6) months after the discrimination occurred with the Division of Labor Standards Enforcement.

Employee Appeals - An employee or authorized employee's representative may, within 15 working days of the issuance of a citation, special order, or order to take special action, appeal to the Occupational Safety and Health Appeals Board the reasonableness of the period of time fixed by the Division of Occupational Safety and Health (Division) for abatement. An employee appeal may be filed with the Appeals Board or with the Division. No particular format is necessary to initiate the appeal, but the notice of appeal must be in writing.

If an Employee Appeal is filed with the Division, the Division shall note on the face of the document the date of receipt, include any envelope or other proof of the date of mailing, and promptly transmit the document to the Appeals Board. The Division shall, no later than 10 working days from receipt of the Employee Appeal, file with the Appeals Board and serve on each party a clear and concise statement of the reasons why the abatement period prescribed by it is reasonable.

Employee Appeal Forms are available from the Appeals Board, or from a district office of the Division.

Employees Participation in Informal Conference - Affected employees or their representatives may notify the District Manager that they wish to attend the informal conference. If the employer objects, a separate informal conference will be held.

DISABILITY ACCOMMODATION

Disability accommodation is available upon request. Any person with a disability requiring an accommodation, auxiliary aid or service, or a modification of policies or procedures to ensure effective communication and access to the programs of the Division of Occupational Safety and Health, should contact the Disability Accommodation Coordinator at the local district office or the Statewide Disability Accommodation Coordinator at 1-866-326-1616 (toll free). The Statewide Coordinator can also be reached through the California Relay Service, by dialing 711 or 1-800-735-2929 (TTY) or 1-800-855-3000 (TTY - Spanish).

Accommodations can include modifications of policies or procedures or provision of auxiliary aids or services. Accommodations include, but are not limited to, an Assistive Listening System (ALS), a Computer-Aided Transcription System or Communication Access Realtime Translation (CART), a sign-language interpreter, documents in Braille, large print or on computer disk, and audio cassette recording. Accommodation requests should be made as soon as possible. Requests for an ALS or CART should be made no later than five (5) days before the hearing or conference.
State of California  
Department of Industrial Relations  
Division of Occupational Safety and Health  
West Covina District Office  
1906 West Garvey Ave South, Suite 200  
West Covina, CA 91790  
Phone: (626) 472-0046  Fax: (626) 472-7708

Citation and Notification of Penalty

Company Name: T E Roberts Incorporated  
Establishment DBA: and its successors  
Inspection Site: Whisphering Hills Golf Course/Cucamonga Creek, Riverside Dr  
Ontario, CA  91761

Citation 1 Item 1  Type of Violation: Serious

1541.1(a):  
(a) Protection of employees in excavations  
(b) Each employee in an excavation shall be protected from cave-ins by an adequate protective system designed in accordance with Section 1541.1(b) or (c) except when:  
(A) Excavations are made entirely in stable rock; or  
(B) Excavations are less than 5 feet in depth and examination of the ground by a competent person provides no indication of a potential cave-in.

VIOLATION:  
Prior to and during the course of the inspection, including but not limited to, November 25, 2014, employees of T E Roberts Incorporated were working in an excavation approximately 25 feet deep. The employer stated that the soil type is type C.

An adequate protective system designed in accordance with section 1541.1(b) or (c) was not provided by the employer to protect employees from cave-ins while working in the excavation.

Corrected During Inspection

Date By Which Violation Must be Abated:  
Proposed Penalty:  
Corrected During Inspection: $7675.00

[Signature]
Laura Drew  
Compliance Officer/District Manager

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

Citation and Notification of Penalty  
Page 5 of 8  
Cal/OSHA-2 Rev 12/2014
NOTICE OF PROPOSED PENALTIES

Company Name: T E Roberts Incorporated
Establishment DBA: and its successors
Inspection Site: Whisphering Hills Golf Course/Cucamonga Creek, Riverside Dr., Ontario, CA 91761
Mailing Address: 1131 E. Main St., #201, Tustin, CA 92780
Issuance Date: 05/15/2015
Reporting ID: 0950644
CSHO ID: U9544

Summary of Penalties for Inspection Number 1015520

Citation 1 Item 1, Serious $7875.00

TOTAL PROPOSED PENALTIES: $7875.00

Penalties are due within 15 working days of receipt of this notification unless contested. If you are appealing any item of this citation, remittance is still due on all items that are not appealed. Enclosed for your use is a Penalty Remittance Form.

If you are paying electronically: Please have this form on-hand when you are ready to make your payment. The company name, reporting ID and Citation number(s) will be required to ensure that the payment is accurately posted to your account. Please go to www.dir.ca.gov/dosh/CalOSHA_PaymentOption.html to access the secure payment processing site. Additionally, you must also mail the Penalty Remittance Form to the address below.

If you are paying by check: Mail this Notice of Proposed Penalties, the Penalty Remittance Form along with a copy of the Citation and Notification of Penalty to:

DEPARTMENT OF INDUSTRIAL RELATIONS
CASHIER, ACCOUNTING OFFICE
P. O. BOX 420603
SAN FRANCISCO, CA 94142-0603

Cal/OSHA does not agree to any restrictions, conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions or endorsements do not exist.

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.
Citation and Notification of Penalty Page 7 of 8 Cal/OSHA-2 Rev 12/2014
DEPARTMENT OF INDUSTRIAL RELATIONS  
DIVISION OF OCCUPATIONAL SAFETY AND HEALTH – CAL/OSHA  
Accounting Office - Cashiering Unit  
P.O. Box 420603  
San Francisco, CA 94142-0603  
Phone (415) 703-4291 or (415) 703-4308 Fax (415) 703-3037  

Please mail or fax this form back to the above address to properly credit your payment.

**PENALTY REMITTANCE FORM**

<table>
<thead>
<tr>
<th>CIVIL PENALTY INFO</th>
<th>INSPECTION NO.: 1015520</th>
<th>REPORTING ID: 0950644</th>
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</thead>
<tbody>
<tr>
<td>ESTABLISHMENT NAME:</td>
<td>T E Roberts Incorporated</td>
<td>FEIN/SEIN: UNKNOWN</td>
</tr>
<tr>
<td>CONTACT PERSON:</td>
<td>Bob Hartwell</td>
<td></td>
</tr>
<tr>
<td>PHONE NO.:</td>
<td>(714) 669-0072</td>
<td>FAX NO.: UNKNOWN</td>
</tr>
<tr>
<td>SITE ADDRESS:</td>
<td>Whispering Hills Golf Course/Cucamonga Creek, Riverside Dr, Ontario, CA 91761</td>
<td></td>
</tr>
<tr>
<td>MAILING ADDRESS:</td>
<td>1131 E. Main St, #201, Tustin, CA 92780</td>
<td></td>
</tr>
</tbody>
</table>

**CITATION INFORMATION:** Penalties are due within 15 working days of receipt of this notification unless contested. If you are appealing any item of this Citation, remittance is still due on all items that are not appealed.

**PAYMENT INSTRUCTIONS:**
- Put a "✓" next to the Citation(s) that you are paying.
- Write the amount paid in the "AMOUNT PAID" column.
- Please indicate the "TOTAL AMOUNT PAID".

<table>
<thead>
<tr>
<th>✓</th>
<th>SUMMARY OF PENALTIES PAID</th>
<th>AMOUNT PAID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Citation 1 Item 1, Serious</td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>TOTAL AMOUNT PAID</td>
<td>$</td>
</tr>
</tbody>
</table>

**TYPE OF PAYMENT ENCLOSED**

Fill in the check, e-check reference, or money order information below:

<table>
<thead>
<tr>
<th>CHECK # ENCLOSED IN THE AMOUNT OF:</th>
<th>$</th>
</tr>
</thead>
<tbody>
<tr>
<td>E-CHECK REFERENCE # PAID IN THE AMOUNT OF:</td>
<td>$</td>
</tr>
<tr>
<td>MONEY ORDER # ENCLOSED IN THE AMOUNT OF:</td>
<td>$</td>
</tr>
</tbody>
</table>

Please make check or money order payable to Department of Industrial Relations - Cal/OSHA and mail to the Cashier, Accounting Office, at the above address. Reference the inspection Number on the "memo" portion of your check or money order. Note: For your convenience, the Department of Industrial Relations accepts electronic payments at [www.dirc.ca.gov/dosh/CalOSHA_PaymentOption.html](http://www.dirc.ca.gov/dosh/CalOSHA_PaymentOption.html). Again, please mail or fax this form to the above address or fax number to ensure payments are properly credited.
EASTERN MUNICIPAL WATER DISTRICT
BID BOND

Bid Bond No. 10-B

PREMIUM: NIL

KNOW ALL MEN BY THESE PRESENTS, that we T.E. ROBERTS, INC., 306 W. KATELLA AVE.,
(Here insert full name and address or legal title of Contractor)

UNIT B, ORANGE, CA 92867 _______________ as Principal, hereinafter called the Principal, and
TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA,
21688 GATEWAY CENTER DR., DIAMOND BAR, CA 91765
(Here insert full name and address or legal title of Surety)

a corporation duly organized under the laws of the State of CONNECTICUT _______________ as Surety,
hereinafter called the Surety, are held and firmly bound unto Eastern Municipal Water District.
Obligee, hereinafter called the Obligee, in the sum of _______________ Dollars
(____ 10% _________), for the payment of which sum well and truly to be made, the said Principal and the
said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally
firmly by these presents. **TEN PERCENT OF AMOUNT BID

WHEREAS, the Principal has submitted a bid, dated JANUARY 8, 2019, for INDIAN STREET

RECYCLED WATER 12-INCH PIPELINE, PROJECT NO. 1270P, MORENO VALLEY, CA
(Here insert full name, address and description of Project)

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract
with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in
the bidding of Contract Documents with good and sufficient surety for the faithful performance of such Contract
and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the
failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the
Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such
larger amount for which the Obligee may in good faith contract with another party to perform the Work covered
by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

In the event suit is brought upon this Bond by Obligee and judgement is recovered, the Surety shall pay all costs
incurred by Obligee in such suits to be fixed by the court.

Signed and sealed this 26TH day of DECEMBER, 2018

CONTRACTOR AS PRINCIPAL

Company:

T.E. ROBERTS, INC.

Signature: 

Name: Timothy Roberts
Title: President

SURETY

Company: (Corporate Seal)

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

Signature: 

Name: CHARLES L. FLAKE
Title: Attorney-in-Fact

This Bid Bond (BB-1) form shall be submitted to be considered a responsive bid

Notary Public Acknowledgment required for Surety and Contractor

Rev:04/06/16 BB-1 00040 Bid Bond
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

County of Orange

On 12-26-18 before me, Lexie Sherwood, Notary Public,

Date

personally appeared Charles L. Flake

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature

Place Notary Seal Above

Signature of Notary Public

LEXIE SHERWOOD
COMM. # 2203287
NOTARY PUBLIC • CALIFORNIA
ORANGE COUNTY
Comm. Exp. JULY 27, 2021

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

Description of Attached Document

Title or Type of Document: ____________________________________________

Document Date: ______________ Number of Pages: ______________

Signer(s) Other Than Named Above: ______________________________________

Capacity(ies) Claimed by Signer(s)

Signer’s Name: ____________________________________

☐ Individual
☐ Corporate Officer — Title(s): ____________________________
☐ Partner ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: ____________________________

Signer is Representing: ____________________________________________

________________________________________

RIGHT THUMBPRINT OF SIGNER

Top of thumb here

Signer’s Name: ____________________________________

☐ Individual
☐ Corporate Officer — Title(s): ____________________________
☐ Partner ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: ____________________________

Signer is Representing: ____________________________________________

________________________________________

RIGHT THUMBPRINT OF SIGNER

Top of thumb here
POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint CHARLES L FLAKE of Anaheim, California, their true and lawful Attorney-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereon of behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this 3rd day of February, 2017.

By: Robert L. Raney, Senior Vice President

State of Connecticut
City of Hartford ss.

On this the 3rd day of February, 2017, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2021

[Signature]

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognize, or conditional undertaking, and any or said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chair, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this 26th day of December, 2018

[Signature]

Kevin E. Hughes, Assistant Secretary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3860. Please refer to the above-named Attorney-in-Fact and the details of the bond to which the power is attached.
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Orange

On 1-9-19 before me, KIM E NEWELL, Notary Public, personally appeared Timothy Roberts

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document
Title or Type of Document: Doc Document Date:
Number of Pages: _______ Signer(s): Other Than Named Above: _______

Capacity(ies) Claimed by Signer(s)
Signer’s Name:
☐ Corporate Officer — Title(s):
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other:
Signer Is Representing:

Signer’s Name:
☐ Corporate Officer — Title(s):
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other:
Signer Is Representing:

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